



ISO 37301 Compliance Management System Certification Training Course



AGILE LEADERS
Training Center



ISO 37301 Compliance Management System Certification Training Course

Course Overview

The ISO 37301 Compliance Management System Certification Training Course equips professionals with the knowledge and skills to implement, audit, and maintain a compliance management system aligned with ISO 37301. This course emphasizes best practices, risk management, and regulatory frameworks, ensuring organizations meet their compliance obligations effectively.

Participants will engage in practical exercises, case studies, and interactive discussions based on ISO 37301 requirements, ISO 19011 auditing guidelines, and ISO IEC 17021-1 certification processes. This training is essential for compliance officers, auditors, and risk managers looking to strengthen their expertise in compliance governance, internal controls, and corporate ethics.

By completing the course, participants will develop the necessary skills to conduct compliance audits, implement CMS frameworks, and lead their organizations toward ISO 37301 certification.

Target Audience

- Compliance officers and compliance managers
- Internal and external auditors
- Legal and risk management professionals
- Governance, risk, and compliance teams
- Consultants in corporate compliance
- Regulatory and ethics professionals
- CEOs, CFOs, and board members
- Legal counsel and corporate lawyers

Targeted Organizational Departments

- Compliance and ethics departments
- Internal audit teams
- Legal and risk management units
- Corporate governance and regulatory affairs
- Finance and accounting departments
- Human resources and employee ethics teams

Targeted Industries

- Banking and financial services
- Healthcare and pharmaceuticals
- Energy and utilities
- Manufacturing and supply chain
- Technology and cybersecurity
- Government and public sector
- Insurance and legal firms

Course Offerings

By the end of this course, participants will be able to:

- Understand ISO 37301 requirements for a compliance management system
- Implement and maintain a compliance management system
- Conduct compliance risk assessments
- Audit a compliance management system using ISO 19011 guidelines
- Develop compliance policies and procedures
- Enhance corporate governance by fostering a compliance culture
- Prepare for ISO 37301 certification

Training Methodology

This course incorporates an interactive and practical approach, combining:

- Case studies and real-world scenarios
- Workshops and group discussions
- Mock audits and role-playing exercises
- Interactive exercises and quizzes
- Expert-led lectures on regulatory compliance
- Feedback sessions and Q&A discussions

Course Toolbox

Participants will receive insights into:

- Compliance risk assessment templates
- Audit checklists based on ISO 37301 requirements
- ISO 19011 guidelines for compliance auditing
- Policy development frameworks
- Compliance monitoring and reporting tools
- ISO 37301 certification readiness checklists

Course Agenda



Day 1: Fundamentals of Compliance Management Systems

- **Topic 1:** Introduction to Compliance and ISO 37301
- **Topic 2:** Compliance Culture and Ethical Business Practices
- **Topic 3:** Understanding Compliance Obligations and Legal Requirements
- **Topic 4:** Risk-Based Approach to Compliance Management
- **Topic 5:** Stakeholder Roles and Responsibilities in Compliance
- **Topic 6:** The Benefits of Implementing a Compliance Management System
- **Reflection & Review:** Summary of Key Learnings and Q&A

Day 2: CMS Implementation and Risk Management

- **Topic 1:** Steps to Implementing a Compliance Management System
- **Topic 2:** Developing Compliance Policies, Procedures, and Controls
- **Topic 3:** Compliance Risk Assessment and Monitoring Strategies
- **Topic 4:** Internal Controls and Continuous Improvement of Compliance Systems
- **Topic 5:** Employee Compliance Training and Awareness Programs
- **Topic 6:** Ensuring Organizational Commitment to Compliance
- **Reflection & Review:** Summary of Key Learnings and Q&A

Day 3: Compliance Auditing and Performance Measurement

- **Topic 1:** Overview of ISO 19011 Guidelines for Compliance Auditing
- **Topic 2:** Planning and Conducting a Compliance Audit
- **Topic 3:** Gathering Compliance Evidence and Reporting Findings
- **Topic 4:** Identifying Nonconformities and Developing Corrective Actions
- **Topic 5:** Evaluating the Effectiveness of a Compliance Management System
- **Topic 6:** Common Pitfalls in Compliance Auditing and How to Avoid Them
- **Reflection & Review:** Summary of Key Learnings and Q&A

Day 4: Certification and Continuous Improvement

- **Topic 1:** ISO 37301 Certification Requirements and Process
- **Topic 2:** Internal vs. External Audits in Compliance Management
- **Topic 3:** Corrective Actions and Compliance Monitoring Strategies
- **Topic 4:** Compliance Governance and Leadership Commitment
- **Topic 5:** Case Study: Real-World Compliance Failures and Lessons Learned
- **Topic 6:** Maintaining an Effective Compliance Management System Over Time
- **Reflection & Review:** Summary of Key Learnings and Q&A



Day 5: Final Assessment and Practical Application

- **Topic 1:** Preparing for the ISO 37301 Certification Exam
- **Topic 2:** Mock Compliance Audit and Practical Exercises
- **Topic 3:** Evaluating Real-World Compliance Scenarios
- **Topic 4:** Implementing a Compliance Management Framework in Your Organization
- **Topic 5:** Understanding the Continuous Compliance Improvement Cycle
- **Topic 6:** Next Steps and Career Opportunities in Compliance Management
- **Reflection & Review:** Course Wrap-Up and Certification Process

FAQ

What specific qualifications or prerequisites are needed for participants before enrolling in the course?

There are no mandatory prerequisites for this course, but a background in compliance, risk management, auditing, or governance is beneficial.

How long is each day's session, and is there a total number of hours required for the entire course?

Each session lasts approximately four to five hours per day, with a total course duration of 20 to 25 hours over five days.

What is the difference between ISO 37301 and ISO 19600?

ISO 37301 is a certifiable standard for compliance management systems, whereas ISO 19600 provides only guidelines and does not require certification. ISO 37301 replaces ISO 19600 and includes mandatory requirements for compliance management systems.



How This Course is Different from Other ISO 37301 Courses

This course offers:

- A comprehensive approach covering ISO 37301 implementation, auditing, and certification
- A hands-on learning experience using mock audits, case studies, and real-world compliance examples
- Practical guidance from industry experts with extensive experience in compliance and auditing
- Interactive learning methods such as group discussions, workshops, and role-playing activities
- A career-focused approach helping professionals prepare for ISO 37301 lead auditor, lead implementer, or compliance management certification

This training ensures that participants not only understand ISO 37301 requirements but can also apply them effectively in their organizations to enhance compliance frameworks, reduce legal risks, and achieve global compliance certification.



Training Course Categories

Agile PM and Project Management Training Courses

Communication and Public Relations Training Courses

Environment & Sustainability Training Courses

Governance, Risk and Compliance Training Courses

IT Security Training & IT Training Courses

Legal Training, Procurement and Contracting Courses

Marketing, Customer Relations, and Sales Courses

Personal & Self-Development Training Courses

Secretarial and Administration Training Courses

Certified Courses By International Bodies

Data Analytics Training and Data Science Courses

Finance and Accounting Training Courses

Human Resources Training and Development Courses

Leadership and Management Training Courses

Maintenance Training and Engineering Training Courses

Occupational Health, Safety and Security Training Courses

Quality and Operations Management Training Courses

Training Cities

Accra Ghana	Al Jubail Saudi Arabia	Amman Jordan
Amsterdam Netherlands	Athens Greece	Baku Azerbaijan
Bangkok Thailand	Barcelona Spain	Berlin Germany
Cairo Egypt	Cape town South Africa	Casablanca Morocco
Chicago USA	Doha Qatar	Dubai UAE
Frankfurt Germany	Geneva Switzerland	Istanbul Turkey
Jakarta Indonesia	Johannesburg South Africa	Kuala Lumpur Malaysia
Kuwait Kuwait	Langkawi Malaysia	Lisbon Portugal
London UK	Madrid Spain	Manama Bahrain
Marbella Spain	Milan Italy	Montreux Switzerland
Munich Germany	Muscat Oman	Nairobi Kenya
New York USA	Paris France	Phuket Thailand
Porto Portugal	Prague Czech Republic	Riyadh Saudi Arabia



Training Cities

Rome

Italy

San Diego

USA

Seoul

South Korea

Sharm El-Sheikh

Egypt

Singapore

Singapore

Tashkent

Uzbekistan

Tbilisi

Georgia

Tokyo

Japan

Toronto

Canada

Trabzon

Turkey

Vienna

Austria

Zanzibar

Tanzania

Zoom

Online Training